

## Non-resident credit union's client identification form

1.	Full name / brief name	Open Joint Stock Company «Oriensbank» / OJSC «Oriensbank»
2.	Business form	Open Joint Stock Company
3.	Public registration # and date of registration	0210005107 December 29 <sup>th</sup> , 1991 Last re-registration dated 22.02.2010
4.	Public registration authority	Taxation committee under the Government of Republic of Tajikistan
5.	Place of registration	Dushanbe, Tajikistan
6.	Tax ID (KIO)	020003038
7.	Location address (place of registration) / postal address / Actual place of residence	734001, Republic of Tajikistan, Dushanbe, Rudaki ave. 95/1
8.	Tel, fax, e-mail, web site	Reception: (992 372) 21-09-20, Clearinghouse tel. (992 372) 21-16-62, 21-15-14. fax. (992 372) 21-18-77, 21-16-62. <a href="mailto:info@orienbank.com">info@orienbank.com</a> , <a href="http://www.orienbank.com">www.orienbank.com</a>
9.	Number, date of issue and type of license for banking transactions	1. N noncash 07.11.2006 License for conducting banking transactions in national currency.  2. № 1/1 30.08.2002 License for conducting banking transactions in foreign currency.  3. № 1/2 30.08.2002 Additional license for conducting safe operations  4. № 1/3 27.05.2003 Additional license for conducting intermediate operations on stock market.
10.	SWIFT / TELEX / BIK	OTJKTJ22/ 201136 ORIEN TJ/350101369 Loro account at NBT 20402972413691
11.	Amount of registered and paid-up authorized capital.	Registered authorized capital 300 000 000,00 somoni, Paid up authorized capital 300 000 000,00 somoni as of 01. 02. 2014
12.	Code of national public statistical observation form (OKPO, OKVED, OKATO, OKOGU)	

13. State information on management board of Your organization, participating at the place of residence. (place of public registration) of Your organization.

13.1	Management board	Surname, name, middle name (if any)	Date of birth
13.2	Board of directors (supervisory board)	<b>Members of supervisory board</b> Soliev H.H. Atavuloev H.I. Aminov N.R. Ismoilov A.A. Shamsiev H.R. Nazirov N.A. Hamdamova N.I.	
13.3	Head of (independent executive board)		
13.4	Board of administration (members)	Hasan Asadullozoda, Chairman of the board of OJSC Oriensbank;	

		<p>Ismatulloev Shuhrat Abdugafforovich Senior deputy of Chairman of the board of OJSC «Orienbank»</p> <p>Salimova Lola Jumaevna, Deputy chairman of the board of OJSC «Orienbank»;</p> <p>Sulaimonbekov Rajabbek Anojatbekovich, Deputy chairman of the board of OJSC «Orienbank»;</p> <p>Bakoev Dilshod Tojidinovich Chief accountant of OJSC «Orienbank».</p>	
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14. Specify Your main correspondent banks:

	Name of bank	Location (country, city)
15.1	COMMERZBANK AG	Frankfurt, Germany
15.2	SBERBANK ROSSII	Moscow, RF
15.3	CJSC AKB «Novikombank»	Moscow, RF
15.4	Bank VTB	Moscow, RF
15.5	TRASTA KOMERCBANCA	Riga, Latvia

15. Is Your credit union a branch, subsidiary or dependent entity?  Yes  No

If yes, please name the parent organization and specify its address (registration place):

16. Has Your credit union branches and representative offices, subsidiary and dependent entities?  Yes  No

If yes, specify them:

	Name	Location (country, city)
1	Branch of OJSC «Orienbank» in I.Somoni district of Dushanbe	address: 734025, Dushanbe, Shotemur str. 28 tel.: +992 (37) 221-63-96
2	Branch of OJSC «Orienbank» in Sino district of Dushanbe	Address: 734060, Dushanbe, Majakovskiy str. 77 Tel.: +992 (37) 235-05-87
3	Branch of OJSC «Orienbank» in Shohmansur District of Dushanbe	Address: 734024, Dushanbe, Drujba Narodov str. 15 Tel.: +992 (37) 225-10-44
4	Branch of OJSC «Orienbank» in 91 micro-district of Dushanbe	Address: 734026, A.Navoi str. 3/3 Tel.:+992 (37) 235-11-47
5	Branch of OJSC «Orienbank» in Vakhdat	address: 735400, Vakhdat, Tugdona str. 38 tel.: +992 (3136) 2-65-40, 2-60-24
6	Branch of OJSC «Orienbank» in Nurek	address: 735300, Nurek, Lenin str. 10 tel.: +992 (3138) 2-18-14, 2-18-15
7	Branch of OJSC «Orienbank» in Rogun	address: 735417, Rogun, Hydrostroiteli str. 24 tel.: +992 (3134) 21-2-99, 21-5-68
8	Branch of OJSC «Orienbank» in Tursunzade	Address: 735000, Tursunzade, M. Tursunzoda str. 159. tel.: +992 (3130) 2-70-58, 2-25-49, 2-81-68, 2-24-43
9	Branch of OJSC «Orienbank» in Somonien settlement	address: 735100, Rudaki district, Somonien settle. Javonon str. 129 tel.: +992 (3137) 442-01-77
10	Branch of OJSC «Orienbank» in Javan	Address: 735310, Javan, Gagarin str. 4 Tel.: +992 (3141) 2-10-70, 2-11-39
11	Branch of OJSC «Orienbank» in Faizabad district	address: 735014, Faizabad settl., I.Somoni str. 16 tel.: +992 (3135) 3-25-62
12	Branch of OJSC «Orienbank» in urban settlement Shahrinav	address: 735030, urban settlement Shahrinav, I.Somoni str. 7 tel.: +992 (3155) 3-11-13
13	Branch of OJSC «Orienbank» in Hissar	address: Hissar, 60 years of Hissar str..3

		tel.: +992 (3139) 2-67-58, 2-60-37
14	Branch of OJSC «Orienbank» in Rasht district	Address: Rasht district, urban settlement GArm, Burhanov str. 5 tel.: +992 (3131) 2-16-16, 2-17-17
15	Branch of OJSC «Orienbank» in Kurgan-Tube	address: 735140, Kurgan tube, Mirzokadirov str. 3-a tel.: +992 (3222) 2-30-19, 2-52-38, 2-55-24
16	Branch of OJSC «Orienbank» in Sarband	address: 735147, Sarband, Lenin str. 21 tel.: +992 (3250) 6-21-25, 2-75-74
17	Branch of OJSC «Orienbank» in Kulab	address: 735360, Kulab, Borbad str. 1 tel.: +992 (3322) 2-35-04, 2-43-88
18	Branch of OJSC «Orienbank» in settlement Chubek	Address: 735370, Khamadoni district, I.Somoni str. 8/1 tel.: +992 (3315) 2-25-00, 2-26-74
19	Branch of OJSC «Orienbank» in Dangara	Address: 735320, Dangara, Lenin str. 1 tel.: +992 (3312) 2-29-79
20	Branch of OJSC «Orienbank» in Muminabad district	address: Muminabad district, Leningrad settlement, Lenin str. tel.: +992 (3318) 810606
21	Branch of OJSC «Orienbank» in Khorog	address: 736000, Khorog, Azizbek str.7 tel.: +992 (3522) 2-36-69, 2-28-64
22	Branch of OJSC «Orienbank» in Khudjand	735700, Khudjand, Kamoli Khudjandi str. 189, tel.: +992 (3422) 6-37-71
23	Branch of OJSC «Orienbank» in Chkalovsk	address: 735730, Chkalovsk, B. Gafurov str. 12 tel.: +992 (3451) 448-35-72
24	Branch of OJSC «Orienbank» in Kairakum	address: 735750, Kairakum, Tursunzade str. 28 tel.: +992 (3443) 2-44-61, 2-46-25
25	Branch of OJSC «Orienbank» in Isfara	address: 735920, Isfara, Kommunarov str..158 tel.: +992 (3462) 2-40-40, 2-28-61
26	Branch of OJSC «Orienbank» in Penjikent	address: 735500, Penjikent, Rudaki ave. 161 tel.: +992 (3475) 5-44-60, 5-27-09
27	Branch of OJSC «Orienbank» in Zarafshan settlement	address: 735522, Zarafshan settlement, Aini district tel.: 25-94, 24-70
28	Branch of OJSC «Orienbank» in Kanibadam	address: 735900, Kanibadam, Lenin str. 318a tel.: +992 (3467) 3-40-22, 3-38-31
29	Branch of OJSC «Orienbank» in Istarafshan	address: 735610, Istarafshan, Rohi Lenin str. 2
30	Branch of OJSC «Orienbank» urban settlement Proletarsk	Address: 735820, urban settlement Proletarsk, Nurmatov str. 2 tel.: +992 (3455) 2-22-69, 2-26-35
31	Branch of OJSC «Orienbank» in Spitamen	address: 735830, K. Marx str. 1 tel: +992 (3441) 2-29-16, 2-32-13
32	Branch of OJSC «Orienbank» in Matcha district	address: 735800, Matcha district, urban settlement Bouston, I.Somoni str. tel.: +992 (3445) 2-21-05, 2-27-60

17. Are the banks within Your country the entities observing the legislation on counteraction the legalization (laundering) of income, gained by criminal way or financing the terrorism (hereinafter referred to LI/FT)?  Yes  No

If yes, please specify the legislative and other statutory acts in LI/FT field adopted in Your country:

The Law of Republic of Tajikistan dated March 25<sup>th</sup>, 2011, № 684 «On counteraction the legalization (laundering) of income, gained by criminal way and financing the terrorism»

[http://nbt.tj/files/Laws/law\\_RT\\_ru.pdf](http://nbt.tj/files/Laws/law_RT_ru.pdf)

18. Does any public supervisory/regulating authority inspect the compliance of requirements of LI/FT area by Your bank?

Yes  No

If yes, specify the full name and address of such a supervisory/regulating body:

National bank of Tajikistan, Republic of Tajikistan, 734003, Dushanbe, Rudaki ave. , 107A

19. Are there internal auditing rules elaborated in Your bank for the purpose of LI/FT and their implementation programs in accordance with the national legislative and other statutory acts?  Yes  No

20. Is there a procedure of client identification, determination and identification of final beneficiaries, analysis of Bank's clients and contractors elaborated in Your bank?  Yes  No
21. Do the procedures of Your bank include the following:
- 21.1. Provision of full information on account party (account number, name, full address) while implementing the money transfer?  Yes  No
- 21.2. Identification of the one not keeping a bank account while doing the transaction with cash funds based on his/her passport/other document, proving its identity and saving a copy of this document in the bank?  Yes  No
- 21.3. Checking information on Bank clients and transaction performed by him?  Yes  No
- 21.4. Revealing transactions provided by legislation on LI/FT?  Yes  No.
- 21.5. Documentary fixing and submitting information provided by national legislation on LI/FT to authorized body?  Yes  No
- 21.6. Storing the documents and information.  Yes  No
- 21.7. Provision of information privacy  Yes  No
- 21.8. Training the staff on LI/FT issues  Yes  No
- 21.9. Are there client forms elaborated in your Bank?  Yes  No
- 21.10. Does Your bank assess the risk of actions of LI/FT nature performed by the clients?  Yes  No
- 21.11. Does Your bank determine the necessary excess measures of due diligence for relevant categories of clients and transaction groups, which in Your bank opinion, related with high risk of conducting illegal transaction in Your bank or through Your bank?  Yes  No
- 21.12. Are there procedures regulating relations with foreign public officials set by You bank?  Yes  No
- 21.13. Are the rules and internal audit programs applied in branch and subsidiary entities of Your bank for the purpose of LI/FT, elaborated by the parent organization of the bank?  Yes  No
- 21.14. Does the staff accountable for LI/FT of Your bank monitor the organization and functioning of LI/FT system in the branch offices of Your bank (if any)?  Yes  No
- 21.15. Does Your bank open accounts to anonymous holder?  Yes  No
- 21.16. Are there procedures duly providing the transactions only with those bank-correspondents, holding the licenses for implementing banking transactions, issued in the countries of their registration in Your bank?  Yes  No
22. Does Your bank maintain correspondent relations with the bank, registered in countries and on territories providing preferential tax treatment and/or not providing disclosure and presentation of information while conducting financial operations (offshore areas)?  Yes  No  
If yes, specify these bank-correspondent:
23. Are there any non-resident banks within the correspondents or contractors of Your bank, which have no regularly operating authority in the countries which they had been registered in?  Yes  No
24. Does Your bank have correspondent relations with the banks with information on that their accounts are used by banks without any regularly operating authorities in the countries which they had been registered in?  Yes  No
25. Does you Bank implement the collection and analysis of information on internal audit rules for the purpose of LI/FT and practice with financial entities being the client of Your bank?  Yes  No
26. Are there the procedures of notifying the relevant staff about the amendments in legislation or procedures of internal audit for the purpose of LI/FT in Your bank?  Yes  No
27. Does Your bank attract the foreign organizations for training the staff?  Yes  No
28. In addition to inspections, conducted by public supervisory/regulating authority, is there any assessment of effectiveness of rules and programs of internal audit for the purpose of LI/FT conducted by the internal audit dept. or independent auditor on the regular basis in Your bank?  Yes  No
29. Are there influence measures of supervisory authorities taken to Your bank due to non-compliance to legislation on counteraction the legalization (laundering) income, gained by criminal way and financing terrorism for the last five years?  Yes  No  
If yes, then disclose this information in detail:
30. Is there any employee accountable for complying the rules of internal audit for the purpose of counteraction the legalization (laundering) income, gained by criminal way and financing terrorism and its application programs assigned in Your bank?  Yes  No  
If yes, specify his/her surname, name, middle name (if any), position, contact phone and fax numbers, address, e-mail address:

32.1.	Name surname	Azimov Mirzoali
32.2.	Position:	Head of internal audit dept.
32.3.	Telephone/fax:	992-372-21-1663 /+992-372-21-1663
32.4.	E-mail	audit@orien.tojikiston.com

Chairman of the board of OJSC «Orienbank»

\_\_\_\_\_  
(position name of the head of credit union)

\_\_\_\_\_  
(signature)

/H. Asadullozoda/  
(signature expansion)

seal

June «05 » 2013.  
(date)